

Project No. 012115-08

December 14, 2015

Mr. Gordon Cumming
Algonquin Forestry Authority (AFA)
222 Main Street W.
Huntsville, ON
CAN, P1H 1Y1

SUBJECT: REGISTRATION PROGRAM

Dear Mr. Cumming,

Please find attached the Audit Report raised as a result of our RR of your management system to the CAN/CSA - Z809-2008 standard conducted in your Huntsville facility on November 18 to 22 and November 30 to December 1, 2015

We thank you and your organization for the support and co-operation given during the audit.

Best regards,
SAI Global

Rod Seabrook

Team Leader
Encls.

SAI GLOBAL Confidential

SUSTAINABLE FOREST MANAGEMENT SYSTEM

CAN/CSA-Z809-2008

Auditee: Algonquin Forestry Authority (AFA)
Address: 222 Main Street W. Huntsville Ontario
CAN P1H 1Y1

Contact: Mr. Gordon Cumming
Date(s) of Audit: Nov 20, 2015 to Dec 01, 2015
Total Number of Audit Days: 5
Audit Team Leader: Rod Seabrook
Type of Audit: RR
Audit Frequency: Annual
SIC / NACE Code: 0851 A02.0

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REPORT DISTRIBUTION

Algonquin Forestry Authority (AFA)
SAI GLOBAL File
Rod Seabrook

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SECTION 1 - EXECUTIVE SUMMARY

Scope #	Scope Description
1	The Sustainable Forest Management System as it applies to the defined forest area which includes the entire Algonquin Provincial Park Forest.

Site:

Algonquin Forestry Authority (AFA)
222 Main Street W.
Huntsville
Ontario
CAN, P1H 1Y1

Summary of Audit Findings:

A re-assessment audit was conducted on over the span of two separate weeks (Nov 18-22 and Nov 20 – Dec 1, 2015) on the Algonquin Forestry Authority's Algonquin Park Forest sustainable forest management system. One minor non-conformance and four Opportunities for Improvement were identified. The audit team found that the Algonquin Forestry Authority's SFM system generally conforms to the requirements of CAN/CSA Z809-2008 and adheres to the company's requirements, with the exception of the noted nonconformity. Failure to provide action plans as arranged with SAI Global and/or to implement correction and corrective action within the nominated time frames may lead to a recommendation that the Algonquin Forestry Authority's certification be suspended. Four positive attributes of the management system were also noted.

Recommendations:

The results of this Re-assessment Audit indicate that the Management System of Algonquin Forestry Authority (AFA) is suitable and effective with the exception of the area of non-conformance identified during the audit. The area of non-conformance must be resolved within a 60-day time frame. If the areas of non-conformance are not resolved by the agreed corrective action date, the registration suspension process will commence.

Upon successful resolution of the areas of non-conformance Algonquin Forestry Authority (AFA) will continue to be recommended for registration to the CAN/CSA-Z809-2008.

SECTION 2 - DESCRIPTION OF THE DEFINED FOREST AREA (DFA):

Geographic Locations, Forest Types, Size, Legal Tenure and SLF Description:

Area of DFA: 680,749 ha

Legal Tenure / Licence Description:

The entire Algonquin Park forest constitutes the Defined Forest Area (DFA). Forest management activities occur only within the Recreation and Utilization Zone (R/U zone) of the Park as defined in the Algonquin Provincial Park Management Plan.

Volume and Species Harvested):

Algonquin Park Forest	
Tree Species	Percentage of DFA Area
Pwr	17%
SPF	10%
TOL	49%
INT	8%
OC	8%
MXWD	9%
Total	100 %

Algonquin Park Forest (2013-14)	
	Volume Harvested (m3)
Softwood	173,258
Hardwoods	212,500
Biofibre (mixedwood)	6,579
Total	392,337

SECTION 3 - ORGANIZATION:

Description of the DFA Workforce:

The AFA has approximately 30 permanent and seasonal staff. There are approximately 300 people involved in forestry operations in the Algonquin Park forest, most as contractors working directly for the AFA.

Management Objectives and Responsibilities on the DFA:

Forestry on Crown lands in Ontario is governed by the requirements of the Crown Forest Sustainability Act (1994). The purpose of the Act is ‘to provide for the Sustainability of Crown forests and, in accordance with that objective, to manage Crown forests to meet social, economic and environmental needs of present and future generations.’

The AFA sets management objectives consistent with the requirements of the CFSA during forest management planning. The four key objectives set within the plan cover

biodiversity, forest cover, social and economic requirements, and silviculture. Further objectives are set within the sustainable forest management plan developed by the AFA for Algonquin Park. They cover the six criteria required by CAN/CSA Z808-08.

The AFA is responsible for planning and implementation of the forest management plan, while Ontario Parks is responsible for approval and oversight of the AFA's activities.

Aboriginals Consultation Process:

First Nations are consulted throughout the development and implementation of the Forest Management Plan for the Algonquin Park forest. First Nations are either involved through regular public consultation opportunities or through a customized process defined by the community. First Nation members are actively involved with the forest management planning team, the local citizens committee and the forest certification advisory group. There are no identified Metis interests in the Algonquin Park Forest.

The AFA Sustainable Forest Management Policy contains a commitment to working cooperatively with local Aboriginal communities to identify and implement ways of achieving a more equal participation by Aboriginal communities in the benefits provided through forest management planning in Algonquin Park. Throughout the development of the 2012 SFM Plan the AFA held discussions with Algonquin communities. Aboriginal VOITs were revised in response to Algonquin concerns and updates were made to socio-economic targets. Negotiations between the Algonquins and the Crown were ongoing with respect to an Aboriginal land claim that affects a major portion of the DFA. An Agreement-in-Principle was recently reached and a ratification vote by Algonquin community members is scheduled from Feb 29 to Mar 7, 2016. The AFA has stated that it is committed to monitoring the progress of the land claim and willingness to recognize associated treaty rights once finalized and where possible, contribute to any economic benefits.

SECTION 4 - AUDIT FINDINGS:

Scope #	Registration Scope Description
1	The Sustainable Forest Management System as it applies to the defined forest area which includes the entire Algonquin Provincial Park Forest.

Audit Objective:

Stage 2 – Re-assessment audit

To confirm the management system complies with the applicable elements of the Standard; to confirm the organization complies with its own policies and procedures; to confirm the management system is suitable for the organization; to confirm that the management system is suitable and effective, and enables the client to achieve its own objectives.

Audit Criteria: CSA Z809:2008

Audit Scope: Facilities/organizational units/functional units audited are located in Southern Ontario. The AFA's main office is located at 222 Main Street W. Huntsville, Ontario. The DFA is known as the Algonquin Park Forest.

Description of SAI Global and the SAI Global Audit Team:

SAI Global is a third party management system certification Registrar. Established in 1984, SAI Global has worked with thousands of organizations in North America and around the world, issuing registration certificates to those that meet international and national management system standards.

The SAI Global Sustainable Forest Management audit team members bring with them considerable experience in the area of sustainable forest management and environmental management systems auditing. Audit teams are comprised of certified auditors and registered professional foresters. All audit team members are subject to strict confidentiality and conflict of interest agreements.

The following personnel conducted this audit:

Audit Team

<u>Position</u>	<u>Name</u>
Team Leader	Rod Seabrook

Audit Coverage:

Noted Deviations from the Audit Plan: None

Operations / Cut-Blocks Visited during the field audit include:

Algonquin Park Forest	
Block / Road Number / Name	Type of Operation Observed
Block 2558 (Bruce Jones)	Harvest
Block 1092 (Makwa)	Harvest
Block 1625 (Dombroski)	Harvest
Block 3582 (Visneski)	Harvest

Personnel (ie Staff/contractors) interviewed in the field:

- Manager of Operations
- Area Manager
- Operations Supervisor
- Woodlands Supervisor
- Contractor Supervisor
- Feller Buncher Operator
- Processor Operator
- Gravel Truck Driver
- Log Truck Driver
- Skidder Operators
- Topper man
- Loader Operator

Members of the public participation process interviewed:

Representatives of the following Forest Certification Advisory Groups were interviewed:

- Environmental/Naturalist
- AFA Board of Directors
- Ontario Parks
- Shabot Obaadjiwan First Nation

Provincial Government personnel interviewed:

- Algonquin Park Forester
- Acting Forestry Technical Specialist

Aboriginal People interviewed:

- Chief of the Shabot Obaadjiwan First Nation

VOITs verified (field & office)

Indicator	Description	Field and/or Office	Improvement
1.1.1.1.1	Ecosystem area by type	Office	Last assessment 2012 - No annual update - VOIT will be re-assessed during the development of the 2020 FMP
1.1.1.1.2	Forest area by type	Office	Long term indicator - no annual update
1.1.1.1.3	Forest area by seral stage	Office	Long term indicator – no annual update
1.1.1.1.4	Total area (ha) by even-aged forest unit in the old growth development stage by the start of each planning term.	Office	Long term indicator – no annual update
1.1.1.2.1	Range of disturbance patch sizes within the Bounds of Natural Variation (BNV)	Office	Long term indicator – no annual update
1.1.1.2.2	Degree of within stand structural retention	Office	No tree marking inspections failed for residual tree or wildlife tree retention infractions – target met
1.2.1.1.1	Degree of suitable habitat in the long term for selected focal species, including species at risk.	Office	Long term indicator – no annual update
1.2.1.2.1	Degree of habitat protection for selected focal species, including species at risk	Office	No reports on non-compliances for Areas of Concern in Table AR-6 for 2013-14 – target met
1.2.1.3.1	Brook Trout Lake and Critical Fish Habitat AOC Integrity	Office	No reports on non-compliances for Areas of Concern in Table AR-6 for 2013-14 – target met
1.2.2.1.1	Status of red spruce as documented in tree marking records, Silvicultural Effectiveness Monitoring (SEM) records and the use of local knowledge	Office	Red spruce occurrences logged in GIS – no new reports for 2013-14
1.2.2.2.1	Hemlock regeneration and recruitment status	Office	Status report completed Aug 2012 - VOIT closed April 2013 - target met
1.2.2.2.1	Proportion of regeneration comprised of native species* *Native tree species as defined in Trees of Algonquin Provincial	Office	No annual update. Next update scheduled for 2017

Indicator	Description	Field and/or Office	Improvement
	Park (Friends of Algonquin Park, 2006)		
1.3.1.1.1	Application of tree marking guidelines	Office/Field	2013-14 tree marking inspections records - no failures due to improper tree marking – target met - Marking conducted as per requirements
1.3.1.1.2	Proportion of seed used in artificial renewal derived from appropriate seed zone	Office	Verified seed source records for 2013-14 artificial renewal program – target met
1.4.1.1.1	Identification and protection of zone boundaries	Office	No no-compliances reported for harvest violation of Park zone boundaries in 2013-14 Table AR-6 – target met
1.4.1.1.2	Proportion of identified sites with implemented management strategies	Office	No annual update – reassessed at next FMP
1.4.2.1.1	Protection of identified sacred and culturally important sites	Office	No reports of non-compliance for AOCs in Table AR-6 – target met
2.1.1.1.1	Reforestation success	Office/Field	No annual report – next report 2017
2.2.1.1.1	Additions and deletions to the forest area	Office	No annual report – assessment of change to be conducted in 2017
2.2.1.1.2	Proportion of the calculated long-term sustainable harvest level that is actually harvested	Office	100% of the FMP planned harvest area was available, 47% (6,306 hectares) of the annual planned area was harvested. This harvest area includes 555 ha of blowdown salvage area from the July 19th 2013 – verified source 2012-13 Table AR-1 – target met
3.1.1.1.1	Level of soil disturbance	Office	No reports of non-compliance for excessive soil disturbance in 2013-14 Table AR-6 – target met
3.1.1.1.2	Level of downed woody debris	Office	Verified no tree marking inspections failed for residual tree or wildlife tree retention infractions

Indicator	Description	Field and/or Office	Improvement
			– target met
3.1.2.1.1	Compliance with FMP operational standards for forestry aggregate pits	Office	No reports of non-compliance for aggregate pits in 2013-14 Table AR-6 – target met
3.2.1.1.1	Proportion of water crossings that are properly installed and removed	Office	2 of 83 water crossing inspection reports were non-compliant - see 2013-14 Table AR-6 – 98% compliance rate is within -5% target variance permitted by indicator – target met
3.2.1.1.2	Compliance with prescriptions developed for the protection of water quality and fish habitat	Office/Field	6 non-compliances reported in 2013-14 Table AR-6 (2 for access AOCs, 1 for access road construction, 2 for access water crossings, 1 for harvest cutting) – 95.3% % compliance rate is within -5% target variance permitted by indicator – target met Prescriptions implemented as required
3.2.1.1.3	Number of spills that enter water bodies	Office/Field	Spills are tracked under the Algonquin Forest Authority’s Environmental Management System – no spills to water reported – target met None observed in field
3.2.1.1.4	Use of salted sand for winter road maintenance on forest access roads	Office	No report for 2013-14 – first report in 2015
3.2.1.1.5	Proportion of watershed or water management areas with recent stand-replacing disturbance	Office	10 year reporting schedule – SFM Plan Appendix P displays Algonquin Park watersheds - next report 2020
4.1.1.1.1	Net carbon uptake	Office	Last report of carbon stocks 2010 – next report 2020
4.1.1.1.2	Reforestation success	Field/Office	No annual update. Next assessment scheduled for 2017

Indicator	Description	Field and/or Office	Improvement
4.2.1.1.1	Additions and deletions to the forest area	Office	No annual update. Next update scheduled for 2017
5.1.1.1.1	Quantity and quality of timber benefits, products, and services produced in the DFA: Long-term projected available harvest volume by product	Office	No annual update – next assessment in 5 years
5.1.2.1.1	Quantity and quality of non-timber benefits, products, and services produced in the DFA: Number of documented public complaints about forestry impacts on back-country recreation	Office	Four documented public complaints about forestry impacts on back-country recreation in 2013/14: 3 related to road signage for hauling and one related to a logging operation – within target variance of +5 – all complaints were investigated and responses provided – road signage guidance in place – target met
5.1.2.1.2	Provision of information with respect to location of planned forest operations on the AFA website	Office	Updated map for the 2013-14 season was uploaded to the AFA internet site in April and September 2013 – target met
5.1.3.1.1	Compliance with the cottage/lease AOC's	Office	Two AOC non-compliances reported regarding AOCs in 2013-14 Table AR-6 – neither associated with cottage/lease AOCs – target met
5.1.4.1.1	Ongoing research/assessment/support	Office	AFA sponsored the Barry's Bay Timberfest and the Killaloe Snow Fun Weekend – verified expenditures of \$250 each – target met
5.1.4.2.1	Establishment of website linkages to information (within the constraints of confidentiality) and promotion of cultural heritage events	Office	Website linkages are being maintained and added to the AFA website as they become available. Verified http://algonquinforestry.on.ca/links/ - target met

Indicator	Description	Field and/or Office	Improvement
5.1.5.1.1	Compliance with Area of Concern prescriptions which schedule operations such that there is a separation in time and/or space between wilderness recreation and forestry operations	Office	No non-compliances reported in 2013-14 Table AR-6 for AOC prescriptions associated with wilderness recreation (MNR designated canoe routes, campsites, portages, MNR designated hiking/backpack trails, MNR designated cross country ski trails – target met
5.2.1.1.1	Managed Crown Forest area available for timber production	Office	Target not met - The 2013 Lightening the Footprint amendment to the Algonquin Park Management Plan resulted in a 44,214 ha (9.2%) reduction in the total production forest area available for forest management, which exceeds the allowable 5% variance – see http://www.algonquinpark.on.ca/visit/park_management/algonquin-park-planning.php
5.2.1.1.2	Level of investment in initiatives that contribute to community sustainability	Office	New VOIT in 2012 – no annual report - next report in 2017
5.2.1.1.3	Level of direct and indirect employment	Office	No annual report – next report in 2017 - 2011-12 direct employment (mills & woodlands was 2,775; 8,325 total indirect jobs using factor of 3 x direct employment figure
5.2.1.2.1	Number of local production facilities that utilize wood fibre from the DFA	Office	2012 benchmark of 12 production facilities – no annual update – next update 2017
5.2.1.3.1	Available wood volume offered to local production facilities (wood supply commitment holders)	Office	100% of sawlog and better product produced from the Algonquin Park Forest was offered to local production facilities

Indicator	Description	Field and/or Office	Improvement
			- 81% was sold to commitment holders and 19% was sold to outside sales. - commitment holders/supply agreement listed in 2010-20 Table FMP-19
5.2.1.3.1	Level of investment in training and skills development	Office	No training related non-conformances raised during November 2013 external audit as conducted by SAI Global
5.2.3.1.1	Level of Aboriginal participation in the forest economy: Percentage of total volume harvested by Algonquins of Ontario communities/organizations/people	Office	Target not met – actual harvest volume 12.7% of total volume harvested by Algonquins of Ontario communities/organizations/people (Makwa and Chartrand) below benchmark target of 16% - verified in discussions with AFA General Manager and data
5.2.3.1.2	Level of Aboriginal participation in the forest economy: Percentage of silviculture completed by Algonquins of Ontario communities/organizations/people	Office	Target not met – actual silviculture area 4% (457 ha) operated by Algonquins of Ontario communities/organizations/people – below benchmark target of 9% - lost Aboriginal tree marking crew which reduced level of silviculture work conducted
5.2.3.1.3	Level of Aboriginal participation in the forest economy: Percentage of total direct program costs paid to Algonquins of Ontario communities/organizations/people	Office	Target not met - 12.6% of total direct program costs paid to Algonquins of Ontario communities/organizations/people – below benchmark target of 19% - due to reduced level of activity by Aboriginal contractors – verified payments to Aboriginal contractors
5.3.1.1.1	Crown timber stumpage paid	Office	\$908,996 paid in 2013-14

Indicator	Description	Field and/or Office	Improvement
	to government consolidated revenues		– above target minimum of \$750,000 paid annually
5.3.2.1.1	Increased participation (of Aboriginal organizations/people)	Office	Preliminary draft land claim Agreement in Principle Dec 2012 prepared - http://www.tanakiwin.com/our-treaty-negotiations/preliminary-draft-agreement-in-principle/
5.3.3.1.1	Level of maintenance of public forest access roads in Algonquin Park	Office/Field	Public Access Road Agreement between Ontario Parks and AFA in place – viewed agreement – lists roads to be maintained – target met – road maintenance is being conducted
6.1.1.1.1	Evidence of a good understanding of the nature of Aboriginal title and rights	Office	Algonquin communities commenced production of an updated Aboriginal Background Information Report and Aboriginal Consultation Summary associated with the Phase 2 Forest Management Plan – see FMP Ph II Supp Doc Section 8.9.3 Updated Aboriginal Background Information Report and Section 8.9.4 Updated Summary of Aboriginal Involvement
6.1.1.1.2	Evidence of best efforts to obtain acceptance of management plans based on Aboriginal communities having a clear understanding of the plans	Office	Verified Algonquin community representatives continue to be involved on Forest Management Planning Teams and the Forest Certification Advisory Group - Algonquin communities commenced production of an updated Aboriginal Background Information Report and

Indicator	Description	Field and/or Office	Improvement
			Aboriginal Consultation Summary associated with the Phase 2 Forest Management Plan – target met
6.1.1.1.3	Level of management and/or protection of areas where culturally important practices and activities (hunting, fishing, gathering) occur	Office	Target met - Verified Algonquin community representatives continue to be involved on Forest Management Planning Teams, the Forest Certification Advisory Group - FMP Ph II Sup Doc Section 8.9.4 Updated Summary of Aboriginal Involvement - Forest Certification Advisory Group Contact list – lists members for eight First Nations and one Aboriginal organization (Algonquins of Ontario)
6.2.1.1.1	Evidence of understanding and use of Aboriginal knowledge through the engagement of willing Aboriginal communities, using a process that identifies and manages culturally important resources and values	Office	Target met. Verified Algonquin community representatives continue to be involved on Forest Management Planning Teams, the Forest Certification Advisory Group – See FMP Ph II Sup Doc Section 8.9.4 Updated Summary of Aboriginal Involvement Forest Certification Advisory Group Contact list – lists members for eight First Nations and one Aboriginal organization (Algonquins of Ontario) - The Algonquin Negotiating table is kept aware of the certification process and its outcomes through the

Indicator	Description	Field and/or Office	Improvement
			involvement of the Algonquin Negotiating Representatives that participate on the CSA Advisory Group. – list of FN members on FCAG
6.3.1.1.1	Evidence that the organization has co-operated with other forest-dependent businesses, forest users, and the local community to strengthen and diversify the local economy	Office	Target met - AFA actively sought out additional contractor capacity, invested in engagement with 4 contractors and training, infrastructure development and oversight support for 3 new contractors – Clouthier, Nagora, Risto, MCForet - AFA and the Canadian Forestry Service investigated a cooperative venture Petawawa Research Forest operations. Objectives included extending the season for AFA harvest and silviculture contractors. – this initiative has not been pursued further - AFA contributed to MNRF’s Available Wood Supply Report which recognizes business to business arrangements and helps proponents to identify potential supply and opportunities - August 2015 report available at http://www.ontario.ca/document/available-wood-supply-report-management-unit-summary
6.3.1.2.1	Evidence of co-operation with DFA-related workers and their unions to improve and enhance safety standards, procedures, and outcomes in all DFA-related	Office/Field	Target met - AFA continues to administer the Algonquin Park Contractor Safety and Environmental Awards

Indicator	Description	Field and/or Office	Improvement
	workplaces and affected communities		<p>Program and recognize achievements at the annual Contractors Meeting. This award was presented Joe Dombroski of Walter Dombroski & Sons Logging as the Algonquin Park logging contractor with the best safety record in 2013/14 - Verified selection process based on safety data; safety awards</p> <p>The AFA Emergency Response Plan (ERP) and associated documents were updated in the spring of 2013 for the 2013/14 operating season. Updated ERP maps were distributed to all emergency service providers surrounding Algonquin Park - AFA continues to provide ERP maps – 2015-16 maps were present on all operations viewed during the field audit</p> <p>AFA announced plans to revise the current Environmental and Safety Awards program encompassing a wider variety of job functions and to be rolled out in 2014-15. A new “above-and-beyond” award presentation was made to two worthy recipients for exceptional dedication to environmental awareness and performance. – B. Hubbert was assigned as lead - verified in email from D. Brown to B.</p>

Indicator	Description	Field and/or Office	Improvement
6.3.1.2.2	Evidence that a worker safety program has been implemented and is periodically reviewed and improved	Office	<p>Hubbert April 29, 2015</p> <p>Target met - AFA continues to maintain a Health and Safety Committee and distribute the Health & Safety Committee minutes and the General Manager's responses to all AFA staff - Meeting minutes found on AFA intranet site</p> <p>AFA also continues to administer the Algonquin Park Contractor Safety and Environmental Awards Program, as described in VOIT 6.3.1.2.1 (above). Both lost time accidents and medical aids were down from the previous year and an overall trend of continual improvement has been achieved - Lost Time Accidents and Medical Aids YTD – presents data from 1988-89 to 2013-14 – one lost time and two medical aids in 2013-14 – overall trend has seen a reduction</p>
6.4.1.1.1	Level of participant satisfaction with the public participation process	Office	Satisfaction survey conducted every 5 years – last report was for 2012 - no annual update. Next update scheduled for 2017
6.4.2.1.1	Evidence of efforts to promote capacity development and meaningful participation in general and for Aboriginal communities	Office	Target met – AFA website lists procurement opportunities - 18 procurement opportunities were advertised on the AFA website during the 2013/14 season. – Verified -

Indicator	Description	Field and/or Office	Improvement
			<p>http://algonquinforestry.on.ca/category/tenders/ http://algonquinforestry.on.ca/category/employment/</p> <p>Annual work schedule letters were distributed to the general public and to each Algonquin community in the spring of 2013. The letter to the Algonquin communities included maps showing the harvest areas, proposed road network, and water crossing installation and removals, along with an offer to discuss any specific concerns.</p> <p>March 15, 2013 letter from Ont Parks to Algonquins re approved 2013-14 AWS; example from 2012 of letter re: Approved 2012/13 AWS (same style for 2013/14) - FMPs and Annual Work Schedules are available on the internet for public review and comment at http://www.ontario.ca/forestplans. - verified access as above</p>
6.5.1.1.1	Number of people reached through educational outreach	Office	<p>An estimated total of 47,305 people were reached through educational outreach in 2013-14 – verified as per summary of People Reached Through Educational Public Outreach (42,000 visitors to the Logging Museum) There were seven recipients of the AFA funded Forest Industry Bursary in 2013 (\$3,500)</p>

Indicator	Description	Field and/or Office	Improvement
			to students attending secondary schools in communities around Algonquin Park – viewed list of 7 recipients - http://algonquinforestry.on.ca/opportunities-bursary-information/
6.5.1.1.2	Availability of summary information on issues of concern to the public	Office	<p>Target met - AFA launched a new website on March 17, 2014, with significant improvements to the design, content and functionality. The AFA website continues to include the advertising of procurement opportunities to the public and aboriginal communities - http://algonquinforestry.on.ca/</p> <p>The CSA Sustainable Forest Management Plan and the 2010-2020 FMP for the Algonquin Park Forest continue to provide information on issues of concern to the public - SFM Plan Oct 2012 and associated Annual Reports</p> <p>The 2010-2020 FMP Phase I and Phase II, the current Annual Work schedule and Annual Reports continue to be made available on the internet at http://www.ontario.ca/forestplans. A Plan Summary is also available on the AFA website - verified access to website described above</p>
6.5.1.1.3	Forestry research funding and/or in-kind assistance	Office	Verified 2013-2014, \$10,620 was spent on research into following

Indicator	Description	Field and/or Office	Improvement
			areas: long skidding, pre/post-harvest regeneration assessment program, species at risk road closure effectiveness study using a trail camera, preliminary research into the use of gravel screens and seedling stock trial research.
6.5.1.2.1	Certification status	Office	SAI Global issued a certificate to the Z809:2008 standard on 02/17, 2013
6.5.1.3.1	Efforts made to create awareness of certification designation on the DFA	Office	http://algonquinforestry.on.ca/forest-certification-what-is-it/

**Review of Changes:
No significant changes**

Summary:

The Audit Team Leader commenced the closing meeting by thanking the Algonquin Forestry Authority (AFA) staff for the cooperation and courtesy extended to the auditor during the audit. The official closing meeting was attended by the personnel listed in the Meeting Attendees list.

The SAI Global Audit Team recorded the following audit results:

Documentation Review:

The audit team reviewed the SFM documentation (2013-14 SFM monitoring report, SFM plan) and found them to be in order.

Status of any previously recorded non-conformances (NCRs)

The implementation and effectiveness of corrective actions relating to the following previously recorded NCRs were verified, accepted, and the NCRs cleared. The relevant comments were recorded on the individual NCRs.
NCR Nos. now closed: 2014-01, 2014-03, 2014-04

The implementation and effectiveness of corrective actions relating to the following previously recorded NCR-2014-02 was reviewed, and not considered to be adequate in

addressing the non-conformance. A new non-conformance (2015-01) was issued as a result

Positive Aspects of the Management System:

- Water crossing installation and removal on Lake Travers road were well done
- Use of tablet for accessing and managing maps and information
- The extensive public outreach and education efforts of the AFA
- The positive comments received from the sample of FCAG members interviewed during the audit regarding the openness and support of the AFA

Non-conformances:

NCR No.	Clause No.	Clause Description	Type	Response Due
2015-01	7.4.6	Operational Control	Minor	Jan 30, 2015

Opportunities for Improvement (OFI):

- 7.4.2 Consider whether periodic S102 refresher training should be conducted
- 7.4.6 Consider implementing processes to ensure that paint marks remain visible on stumps following mechanical harvesting
- 7.4.6 Consider requesting contractor to remove the non-specification fuel tank mounted on the loader truck bed at the Bruce Jones operation
- 7.4.7 Consider establishing a maximum distance that large spill kits can be from active operations
- 7.4.7 Consider conducting a visual review and explanation of spill kit contents with operators
- 7.5.1 Consider updating the information in PART B - ENVIRONMENTAL MANAGEMENT SYSTEM INSPECTION CHECKLIST to provide more detailed information for inspectors and highlight areas of on-going deficiencies
- 7.5.4 Consider clarifying the role of checklists in the Internal Audit MSP
- 5.2 Consider whether the First Nations Natural Resources Youth Employment Program model (<http://www.outland.ca/whats-new/first-nations-natural-resources-youth-employment-program-begins-14th-summer-program-at-firesteel-camp-west-of-upsala,-ontario/>) may have application in the Southern Region as a means to attract Aboriginal Youth to pursue forestry work

Please note that these Opportunities for Improvement will be reviewed as part of the preparation for the next audit.

SECTION 5 - GENERAL ASSESSMENT AND RECOMMENDATION:

Based on the review of the company's SFM program, and the audit results, the following recommendation is made:

Re-Assessment:

The Re-assessment audit identified one non-conformance within Algonquin Forestry Authority (AFA) management system. The non-conformance must be resolved within 60 days of the audit in order to complete the Re-assessment Audit.

As a result of the Re-assessment including the review of the performance of the Algonquin Forestry Authority (AFA) Management System over the past 3 years and upon closing of the non-conformances for the Re-assessment Audit, will indicate that Algonquin Forestry Authority (AFA)'s Management System meets the following criteria for maintenance of registration to CAN/CSA - Z809-2008.

An effective inter-action exists between all elements of the management system;
The entire management system is effective in light of any changes
Top management has demonstrated a commitment to maintain the effectiveness of the system.

Next Scheduled Audit: A-00309454

Dates: Nov 07, 2016 to Nov 10, 2016

Type of Audit: S1

No. of Persons: 1

No. of Audit Days Required: 3

Forest Areas for the Next Audit:

- To be determined during audit planning

Rod Seabrook
SAI Global
Team Leader

Date: Dec 14, 2015